# Availability of Outpatient Care From Psychiatrists: A Simulated-Patient Study in Three U.S. Cities

Monica Malowney, M.P.H. Sarah Keltz Daniel Fischer, M.D. J. Wesley Boyd, M.D., Ph.D.

Objectives: The study examined availability of psychiatrists for outpatient appointments in three U.S. cities. Methods: Posing as patients, investigators called 360 psychiatrists listed in a major insurer's database in Boston, Houston, and Chicago (N=120 per city) and attempted to make appointments. Callers claimed to have Blue Cross Blue Shield or Medicare or said they would pay out of pocket (N=120)per payer type, divided evenly across cities). Results: In round 1 of calling, investigators were able to reach 119 of the 360 psychiatrists (33%). Of the 216 unanswered calls, 35 were returned. After two calling rounds, appointments were made with 93 psychiatrists (26%). Significant differences were noted between cities but not between payer type. <u>Conclusions</u>: Obtaining outpatient appointments with psychiatrists in three cities was difficult, irrespective of payer. Results suggest that expanding insurance coverage alone may do little to improve

Ms. Malowney is with the Department of Population Health, Maimonides Medical Center, Brooklyn, New York. Ms. Keltz is an undergraduate student in the Department of History of Science, Harvard College, Cambridge, Massachusetts. Dr. Boyd and Dr. Fischer are with the Department of Psychiatry, Cambridge Health Alliance, Cambridge, Massachusetts, and Harvard Medical School, Boston. Send correspondence to Dr. Boyd (e-mail: jwboyd@cha. harvard.edu).

access to psychiatrists—or worse, expansion might further overwhelm the capacity of available services. (*Psychiatric Services* 00:1–3, 2014; doi: 10.1176/appi.ps.201400051)

M ental disorders are the leading cause of disability in the United States (1). In 2011 it was estimated that one in five U.S. adults had a mental illness and that less than 40% had received mental health services (1). In that year, a total of 10.8 million adults reported an unmet need for mental health care (2). Inadequate treatment contributes to individual and family suffering, spillover costs to the prison system, homelessness, lost productivity, and suicide.

Unfortunately, obtaining mental health services can be difficult, even with insurance. Surveys of psychiatrists have shown that although most were accepting new patients, psychiatrists were more likely to accept self-pay and privately insured patients than publicly insured patients (3–5). Although these studies are valuable, they do not reflect patients' experience of seeking outpatient care.

Two studies have attempted to replicate the patient experience by calling psychiatric clinics to schedule outpatient appointments (5,6). They found that appointments were difficult to obtain and that clinics often did not answer or return the calls. Using a similar "simulated patient" methodology, we posed as care seekers with three payer types: Blue Cross Blue

Shield (BCBS) PPO, Medicare, and self-pay. In a departure from past studies, we called individual psychiatrists, rather than psychiatric clinics, in three major U.S. cities.

### Methods

We utilized the BCBS Web site to obtain a list of in-network BCBS providers in Chicago, Houston, and Boston. We selected suburban zip codes for our search so that our calls would not all go to a single medical center, and we chose BCBS because it is the largest insurer in Illinois, Texas, and Massachusetts.

We randomly divided the first 120 psychiatrists listed in each city (N=360) into three payer groups of 40 each. Each psychiatrist was called one time by a simulated patient claiming to have one of the three coverage types: BCBS PPO, Medicare, or self-pay. We posed as patients with depressive symptoms and made calls during normal business hours. Voicemails specifying payer type were left, if possible, when calls were not answered.

Data collected included whether the call was answered, whether we were able to make an appointment, the soonest available appointment date, the reason why no appointment was given, and if and when calls were returned. If appointment availability was not confirmed within two weeks, we called again and collected the same data. Data analysis was performed with R statistical programming software.

The Cambridge Health Alliance Institutional Review Board approved our study.

# Table 1

Q:1 Reasons appointments were not made with psychiatrists, by payer type of care-seeking patient<sup>a</sup>

	Self-pay (N=86)		Medicare (N=96)		BCBS PPO (N=85) <sup>a</sup>		Total (N=267)	
Reason	N	%	N	%	N	%	N	%
Reason unknown or unreachable								
psychiatrist	29	34	27	28	32	38	88	33
Wrong number	21	24	24	25	13	15	58	22
Does not accept general adult								
outpatients <sup>c</sup>	11	13	11	11	14	16	36	14
Does not accept payment type	0	_	11	11	1	1	12	5
Not accepting new patients	20	23	19	20	16	19	55	21
Needed more information	3	3	4	4	5	6	12	5
Out of the office	1	1	2	2	3	3	6	2
Other <sup>d</sup>	1	1	1	1	3	3	5	2

<sup>&</sup>lt;sup>a</sup> Percentages do not add to 100% because in some instances, more than one reason was given.

### Results

We called 360 psychiatrists in round 1. Forty percent of calls were answered. However, 16% of the numbers in the BCBS database were wrong; they included numbers for a McDonald's restaurant, a boutique, and a jewelry store. Therefore, although 40% of the calls to the 360 psychiatrists were answered, we were able to reach only 119 psychiatrists in our sample in the first calling round (33%). As expected, there were no significant differences by payer with regard to answered calls; however, significant differences in answered calls were noted between cities. Psychiatrists

in Houston were most likely to answer round 1 calls, and psychiatrists in Boston were least likely (Boston, 31%; Houston, 50%; and Chicago, 39%;  $\chi^2$  = 9.24, df=2, p=.01).

Of the 216 unanswered calls in round 1, 78 (36%) were returned. Psychiatrists in Boston were significantly more likely than psychiatrists in Houston to return calls (Boston, 50%; Houston, 15%; and Chicago, 42%;  $\chi^2$ =19.80, df=2, p<.001). A possible explanation is that more psychiatrists in Houston than in Boston answered our initial calls. Although the proportion of round 1 calls returned was larger in the BCBS and self-pay

**Table 2**Reasons appointments were not made with psychiatrists, by city<sup>a</sup>

		Boston (N=98)		Houston (N=79)		Chicago (N=90)		Total (N=267)	
Reason	N	%	N	%	N	%	N	%	
Reason unknown or unreachable	,								
psychiatrist	23	24	24	30	41	46	88	33	
Wrong number	19	20	21	27	18	20	58	22	
Does not accept general adult									
outpatients <sup>b*</sup>	14	14	13	16	9	10	36	14	
Does not accept payment type	2	2	9	11	1	1	12	5	
Not accepting new patients	29	30	12	15	14	16	55	21	
Needed more information	8	8	0	_	4	4	12	5	
Out of the office	2	2	1	1	3	3	6	2	
Other <sup>c</sup>	4	4	0	_	1	1	5	2	

<sup>&</sup>lt;sup>a</sup> Percentages do not add to 100% because in some instances, more than one reason was given.

groups than in the Medicare group, this difference was not significant.

In round 2, we attempted to reach the 222 psychiatrists for whom we did not have appointment availability information after round 1. In this round, 75 calls (34%) were answered. Again, some numbers were wrong, and we were able to speak to someone at the correct number for only 62 (28%) of these calls. Of the 123 unanswered round 2 calls, 42 (34%) were returned. There were no significant differences in returned round 2 calls between cities or payers. After two rounds of calling, we were able to obtain appointment availability information for 219 of 360 psychiatrists. No further attempts were made to reach the remaining psychiatrists.

In total, we were able to obtain appointments with 93 psychiatrists, representing 26% of our sample. Tables 1 and 2 list reasons the offices gave for not making appointment by payer type and city, respectively. Although we were able to obtain appointments more frequently by using BCBS or self-pay compared with Medicare, this difference was not significant. There was a significant difference in success rate between cities, with psychiatrists in Boston least likely to offer an appointment and those in Houston most likely (Boston, 18%; Houston, 34%; and Chicago, 25%;  $\chi^2 = 7.92$ , df=2, p=.02). The mean ± SD number of days until the first available appointment was 25±22 (range 0-93 days), and this did not differ significantly across city or payment type.

# Discussion and conclusions

Obtaining an outpatient appointment with a psychiatrist was difficult in the three cities we surveyed, and the appointments given were an average of one month away. Our findings add to the growing evidence that the mental health system is difficult for consumers to access. The findings are in line with national data demonstrating that two-thirds of primary care physicians cannot obtain outpatient mental health services for patients who need them (7).

Of note, the BCBS database did not exclusively list psychiatrists who were reachable and accepting patients: 16% of the numbers listed were wrong, 15% of practices were full (accepting no new

<sup>&</sup>lt;sup>b</sup> Blue Cross Blue Shield

<sup>&</sup>lt;sup>c</sup> Saw only inpatients, only adolescents, or only patients with substance use problems.

<sup>&</sup>lt;sup>d</sup> For example, accepts referrals only

<sup>&</sup>lt;sup>b</sup> Saw only inpatients, only adolescents, or only patients with substance use problems

<sup>&</sup>lt;sup>c</sup> For example, accepts referrals only

patients), and 10% of the numbers directed us to psychiatrists who did not see general adult outpatients. There was also a high incidence of unanswered calls, which supports existing research showing that this phenomenon is more common when individuals seek mental health care compared with other forms of care (5). In addition, our study was limited both by its small sample and because we called only psychiatrists and not other kinds of mental health providers. We did this to mimic inexperienced care seekers who might use our process of searching for psychiatrists on an insurance Web site rather than obtaining the name of a specific provider.

Our results indicate that having insurance is not enough to guarantee access to outpatient care from a psychiatrist. In fact, although we would have expected BCBS PPO to perform better because we used BCBS's list of in-network providers, insurance did not offer any significant benefit over paying out of pocket in our attempts to obtain outpatient appointments with psychiatrists. Although the per capita supply of active physicians is highest in Massachusetts and ninth lowest in Texas among the states, it was most difficult to reach psychiatrists and obtain appointments in Boston and least difficult in Houston (8). The difference may therefore be related to the size of the insured population or to demand: in 392 urbanized areas ranked by highest uninsured rates in 2011, Houston ranked 11, whereas Boston ranked 299 (9). Expanding health insurance coverage through the Affordable Care Act may thus do little to change the conditions that made it difficult for us to obtain outpatient appointments with psychiatrists—or worse, expansion of coverage might further overwhelm the capacity of available services from these providers.

Increasing the number of medical students who choose to go into psychiatry would likely improve matters. This might be achieved through several means. First, integrating psychiatry more into general medical care through a longitudinal exposure to the field—as opposed to the traditional month-long clerkships—appears to reduce some of the stigma traditionally attached to psychiatric care and has also been demonstrated to greatly increase the number of medical students who choose psychiatry (10). In addition, exposing medical students to psychodynamic psychotherapy is associated with dramatic increases in the number of students who select psychiatry (10,11).

Beyond changing the psychiatric training that medical students receive, increasing reimbursement rates for care provided by psychiatrists may attract more medical students to the profession and cause more psychiatrists in private practice to accept new patients who are insured. Meanwhile, insurance companies must ensure that the information for their in-network providers is accurate and useful for patients seeking care.

# Acknowledgments and disclosures

The authors report no financial relationships with commercial interests.

## References

1. Annex A: Deaths and DALYs 2004. Geneva, World Health Organization, 2004. Available

- at www.who.int/healthinfo/global\_burden\_disease/GBD\_report\_2004update\_AnnexA.pdf. Accessed April 14, 2014
- 2. Results From the 2011 National Survey on Drug Use and Health: Mental Health Findings. Rockville, Md, Substance Abuse and Mental Health Services Administration, 2012. Available at www.samhsa.gov/data/NSDUH/2k11MH\_FindingsandDetTables/2K11MHFR/NSDUHmhfr2011.htm#2.1. Accessed April 14, 2014
- Wilk JE, West JC, Narrow WE, et al: Access to psychiatrists in the public sector and in managed health plans. Psychiatric Services 56:408–410, 2005
- Barry CL, Venkatesh M, Busch SH: Assessing new patient access to mental health providers in HMO networks. Psychiatric Services 59:1413–1418, 2008
- Rhodes KV, Vieth TL, Kushner H, et al: Referral without access: for psychiatric services, wait for the beep. Annals of Emergency Medicine 54:272–278, 2009
- Boyd JW, Linsenmeyer A, Woolhandler S, et al: The crisis in mental health care: a preliminary study of access to psychiatric care in Boston. Annals of Emergency Medicine 58:218–219, 2011
- Cunningham PJ: Beyond parity: primary care physicians' perspectives on access to mental health care. Health Affairs 28: w490-w501, 2009
- 2011 State Physician Workforce Data Book. Washington, DC, Association of American Medical Colleges, Center for Workforce Studies, 2011. Available at www.aamc.org/download/263512/data/ statedata2011.pdf. Accessed April 14, 2014.
- American FactFinder. Washington, DC, US Census Bureau. Available at factfinder2. census.gov. Accessed April 14, 2014
- Griswold T, Bullock C, Gaufberg E, et al: Psychiatry in the Harvard Medical School– Cambridge Integrated Clerkship: an innovative, year-long program. Academic Psychiatry 36: 380–387, 2012
- Mintz D: Teaching psychoanalytic concepts, skills, and attitudes to medical students. Journal of the American Psychoanalytic Association 61:751–770, 2013

# AUTHOR QUERIES

# AUTHOR PLEASE ANSWER ALL QUERIES

1—If footnote indicator "b" cited in Table 1 footnote but not cited in table body. Please check and advise.