

From: [REDACTED]
Subject: CHA-IRB-24-25-408 - Initial: Initial - Exempt
Date: April 4, 2025 at 6:56 PM
To: [REDACTED]
Cc: [REDACTED]

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Notice of IRB Exemption Granted

April 4, 2025

Re: Initial - Impact of Systemic Financialization on Physicians, Patients, and Healthcare Inequities (CHA-IRB-24-25-408)

Dear Dr. Dharma Cortes,

A qualified member of the CHA IRB has reviewed the above-referenced submission and determined that it qualifies for exemption.

Date of Determination: April 4, 2025

Determination: Exempt, Category 2.(i). Research that only includes interactions involving educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures, or observation of public behavior (including visual or auditory recording) if at least one of the following criteria is met:

The information obtained is recorded by the investigator in such a manner that the identity of the human subjects cannot readily be ascertained, directly or through identifiers linked to the subjects;

Clinical Trial Registration NCT #: n/a

HIPAA: No PHI or In ICF or Waived and/or Waiver granted for screening only

Findings: n/a

Notes: n/a

Documents Dated/Received: n/a

FOR FUNDED STUDIES: RESEARCH MUST NOT BEGIN WITHOUT AUTHORIZATION FROM THE OFFICE FOR SPONSORED RESEARCH.

Per CHA IRB Policy, studies that are granted an exempt determination must undergo an annual administrative check-in. For this study, the PI must submit the required information via Cayuse prior to **April 3, 2026**.

Provided you do not make any change to the study, additional review by the IRB is not required. However, any proposed change to this project must be reviewed by the IRB prior to implementation so that it may be determined whether the proposed change(s) is within the parameters of the current exemption. The exempt status of this research will not expire. Please notify the IRB office in writing when this project is terminated.

The HIPAA Privacy and Security Rule apply to this study. If you create, receive, store, or transmit electronic PHI you must meet institutional Security Rule standards. Please also be reminded that this study may be audited periodically by the CHA Research Quality Assurance/Quality Improvement program.

Please direct any questions to CHAIRBOffice@challiance.org or 617-806-8702.

Thank you.

Sincerely,

A handwritten signature in blue ink, appearing to read "SE Nelson".

Sarah E. Nelson, PhD
Chair, Institutional Review Board

Cambridge Health Alliance Institutional Review Board Office
<https://www.challiance.org/academics/institutional...>
Cambridge Health Alliance | 1493 Cambridge Street | Cambridge, MA 02139